

Securities Cash Trading 證券現金交易

Client Account No. 客戶賬戶號碼 _____

Individual Account Opening Form 個人客戶開戶表格

Magusta Securities Limited 邁高證券有限公司

Address地址: Unit B, 28/F., Entertainment Building, 30 Queen's Road Central, Hong Kong 香港 香港中環皇后大道中三十號娛樂行廿八樓B室
 Tel電話: 2845 4113 Fax傳真: 2587 9878 Web-site網站: <https://www.magusta.com.hk>

This Form is part of the Client Account Agreement and should be read in conjunction with the Terms and Conditions of the Client Account Agreement, which is published at the web-site <https://www.magusta.com.hk>

此開戶表為客戶賬戶協議書的一部份，並須與刊登於網站<https://www.magusta.com.hk>內的條款及條件一併閱讀。

Part A 甲部 Personal Information 個人資料

Name 姓名 _____ Gender 性別 _____

HKID/Passport No. 香港身份證/護照號碼 _____ Place of Issue 簽發地點 _____

Date of Birth 出生日期 _____ Nationality 國籍 _____

Resident Address 住宅地址 _____

Postal Address 通訊地址 _____

Mobile 手提 _____ Office Tel. 公司電話 _____ Home Tel. 住宅電話 _____

E-mail 電郵 _____ Fax 傳真 _____ Language 語言 繁體 簡體 English

Communication Method (For issuance of Daily / Monthly Statement) 通訊方式 (用作發送日 / 月結單)

 Post 郵寄 (Post to postal Address with administration fee of \$50 per statement+Postage. 郵寄至通訊地址每份結單行政費為港幣50元正,另加郵費) Email 電郵

Part B 乙部 Employment Information 受僱資料

Name of Employer 僱主名稱 (自僱人士請填寫公司名稱) _____ Position 職位 _____

Address 地址 _____

Business 行業 _____ Year(s) of Employed 任職年期 _____

Part C 丙部 Bank Details 銀行詳情

Name of Bank 銀行名稱 _____ Account No. 賬戶號碼 _____

Part D 丁部 Financial Position and Investment Experience 財政狀況及投資經驗

Annual Income (HKD) 全年收入(港幣) _____ Source of Income 收入來源 _____

Other Asset 其他資產 No 沒有 Securities 證券 Property 物業 Cash 現金 Other (Please specify) 其他, 請說明 _____ Total Net Worth (HKD) 資產淨值(港幣) _____Investment Objectives 投資目標 Short term 短線 Long term 長線 Hedging 對沖 Speculation 投機 Other (Please specify) 其他 (請說明) _____ Invest Since 開始投資年份 _____Products Invested 曾投資產品 Securities 證券 Futures/Options 期貨/期權 Foreign Exchange 外匯 Bullion Investment 金銀投資 Bonds 債券 Funds 基金 Others (Please specify) 其他 (請說明) _____

Risk Tolerance 可承受風險	Client Investment Interest 客戶投資意向
	Please tick the below product(s) if you have interest in trading of: 請選擇以下有意欲交易之產品:
<input type="checkbox"/> Aggressive 高	<input type="checkbox"/> Derivative Warrants/ Callable Bull/Bear Contracts 衍生權證(窩輪) / 牛熊證
	<input type="checkbox"/> Exchange Traded Funds / Trust 交易所買賣基金(ETF)/ 信托基金 <input type="checkbox"/> Growth Enterprise Market (GEM) 創業板
<input type="checkbox"/> Moderate 中	<input type="checkbox"/> Exchange Traded Funds / Trust 交易所買賣基金(ETF)/ 信托基金 <input type="checkbox"/> Growth Enterprise Market (GEM) 創業板
<input type="checkbox"/> Conservative 低	Main Board 主板

Part E 戊部 Client's Knowledge of Derivatives and Trading Experience 客戶對衍生工具產品的認識及投資經驗

My knowledge of derivative products are as followed: 對衍生產品的認識如下:

 I underwent training or attended courses on derivative products that provide general knowledge of the nature and risks of derivatives (e.g. courses offered by academic or financial institutions) 本人已接受有關介紹一般衍生產品之性質及風險的培訓或課程 (例如學術機構或金融機構所提供之課程) I have work experience related to derivative products 本人擁有與衍生產品有關之工作經驗 I have relevant trading experience i.e. I have execute five or more transactions in derivative products within the past three years 本人有相關之交易經驗, 即本人於過去三年曾執行過五次或以上有關衍生產品之交易 I do not have any knowledge of derivative products 本人並未有衍生產品之認識

Part F 己部 Declaration by Client 客戶聲明

1. * I am the ultimate and sole beneficial owner of the Account and is fully responsible for all instructions for the operation of the said Account. 本人是賬戶的最終及唯一實益擁有人，並完全負責為該賬戶運作所發出的一切指示。
2. * I am not a bankrupt person and I am not aware of any legal proceedings which have been started against me for bankruptcy. 本人並非破產人士，而且據本人所知，並沒有任何針對本人的破產的法律程序正在進行。
3. * I am not a citizen or resident alien of the United States. 本人並非美國公民(持有美國護照)或美國居民(持有美國綠卡)。
4. * I am not a director or senior management officer or accredited person of an exchange participant of The Stock Exchange of Hong Kong Limited or Hong Kong Futures Exchange Limited, or a licensed or registered person under the Securities and Futures Ordinance or a registered institution under the Banking Ordinance. 本人並非香港聯合交易所有限公司或香港期貨交易所有限公司參與者的董事、高級管理人員或認可人士，亦非證券及期貨條例註冊持牌法團或銀行業條例之註冊機構之持牌代表或註冊人員。
5. * I am not a relative of any director or employee or representative of Magusta Securities Limited and/or its subsidiary companies. 本人並非邁高證券有限公司及/或其附屬公司任何董事、僱員或代表的親屬。
6. I have read, and sought independent advice if needed, the following Agreements and Statements set out in the Terms and Conditions of the Client Account Agreement. 本人已細閱於客戶賬戶協議書的條款及條件內下列的同意協議及聲明，並按需要(如有)徵求獨立意見。
- (i) Securities Cash Trading Agreement 證券現金交易協議書
- (ii) Risk Disclosure Statement 風險披露聲明
- (iii) Personal Information Collection Statement 收集個人資料聲明
- (iv) Electronic Securities Trading Services Agreement 電子證券交易服務協議書
7. I confirm that the information in this Form is true, complete and correct, and I have read and fully understood all provisions in the Terms and Conditions of the Client Account Agreement, and accept and agree to be bound thereby. Magusta Securities Limited reserves the right to cancel my application at any time if the information given in the application is found to be untrue. 本人確認本表格所填資料屬真實、完整及正確，並已閱讀和完全明白客戶賬戶協議書的條款及條件所載的條文，及接受並同意受該等文件的約束。本人明白若邁高證券有限公司發現任何刻意隱瞞之事實，邁高證券有限公司將保留取消此申請之權利。
8. I understand that Magusta Securities Limited will rely on the above information to assess whether I have acquired the knowledge of derivative products. 本人明白邁高證券有限公司將會根據以上資料來評核本人是否對衍生產品有認識。
9. I undertake to notify Magusta Securities Limited any material change of the above information. 如果上述資料有重大改變，本人確保會通知邁高證券有限公司。
10. I confirm that the Risk Disclosure Statement, and, if applicable, Risk Disclosure Information, in or accompanying the Client Account Agreement have been provided in a language of my choice (English or Chinese) and that I have read and accepted the nature and contents thereof. I appreciate that they are not and cannot be taken as a comprehensive or exhaustive list of all possible risks. I confirm that I have been given the opportunity to ask questions and seek independent advice if I so wish. 本人確認或附於客戶賬戶協議書之風險披露聲明已按本人選擇之語言(英文或中文)提供予本人，及本人已閱讀、瞭解和接受其/其等(若適用)性質和內容。本人明白這不是而且不能被當為一份完全或徹底列舉所有潛在風險的清單。此外，本人已被給予機會提出問題及徵求獨立的意見(若本人有此意願)。
11. I confirm that I and my connected parties including but not limited to spouse, do not own and operate any account open with Magusta or its subsidiary companies. 本人及其關連人士包括但不限於配偶沒有於邁高證券及其附屬公司持有及運作其他賬戶。
12. I confirm that I and my connected parties are not Senior Government Officers, Political Exposure Persons or Government State Owned Executive Persons. 本人及其關連人士不是政府高級官員、政要人物或國有企業高級行政人員。
- * If any of the terms stated in the declaration is not applicable, I will complete and return the "Client Declaration Form" to Magusta Securities Limited. 如以上任何一項聲明與本人資料不符，本人將填妥《客戶聲明表》並交予邁高證券有限公司。

Client Signature 客戶簽署 _____

Date 日期 _____

Witness Information (If applicable) 見證人資料 (如適用)

Witness Name 見證人姓名 _____

Profession/ Title 所屬專業及職銜 _____

Witness Signature 見證人簽署 _____

Date 日期 _____

Part G 庚部 Declaration by Staff 職員聲明

The above-mentioned Agreements and Statements have been provided to the Client in a language which the Client fully understands and the undersigned licensed person of the Magusta Securities Limited has invited the Client to ask questions and take independent advice.

Staff Name 職員姓名: _____ Signature 簽署: _____ CE No. 中央編號: _____ Date 日期: _____

Brokerage 佣金: _____ Date 日期: _____ Name 姓名: _____

AE Code 經紀代號: _____ Credit Limit 信用額: HK\$ _____

For Official Use Only (此欄由本公司職員填寫)

Document Checklist Age 18 or above HKID / Passport Copy **Address Proof cheque (if any) Employer Consent (if any)

** Copy of bank statement or utility bill in the latest 3 months for proof of residential address (P.O. Boxes are not accepted)

Part H 辛部 Confirmation Call 資料覆核

Staff Name _____ CE No: _____ Date & Time _____ Extension _____

Telephone 電話 Address 地址 Bank Account 銀行戶口 Email 電郵 Identity Proof 身份證明

Part I 壬部 Assessing of client's knowledge of derivative and characterization 評估客戶對衍生工具產品的認識及分類

Client's knowledge of derivative 客戶對衍生工具產品的認識評估

Yes 有認識 No 沒有認識

Client has fully understood the relevant risks of the derivative product(s) enclosed herewith and wish to trade derivative product(s)

客戶已明白各類衍生產品所附帶的相關風險，並有意進行衍生產品交易

Input By: _____ Checked by: _____ Approved by: _____